

# Perturbative and colorful lectures on Strong Interactions

lecture 1/4

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Belgian Dutch German summer school (BND 2022) - Callantsoog (NL)

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## 1 A few words about QCD

- ① running of  $\alpha_S$
- ② asymptotic freedom and confinement

## 2 quarks and gluons from $e^+e^-$ annihilation

- ① quark electric charges
- ② quark and gluon spins
- ③ QCD gauge parameters

## 3 Structure of hadrons

- ① elastic scattering
- ② deep inelastic scattering
- ③ proton structure functions
- ④ DGLAP evolution equation

## 4 hadron - hadron interactions

- ① jet production
- ② Drell-Yan process

# A few preliminary remarks

	proton	neutron
mass	938.280 MeV	939.573 MeV
lifetime	stable	$898 \pm 16$ sec
charge	+1	0
spin	1/2	1/2
magnetic moment	$2.793\mu_N$	$-1.913\mu_N$

# A few preliminary remarks

## lifetime and masses

-  $\tau_n \simeq 15$  min - very long for wi

$$n \rightarrow p e^- \bar{\nu}_e$$

-  $\tau_p > 10^{33}$  y - stable (if free state)

-  $m_n/m_p = 1.0014$  !

⇒ very similar internal bounding fields

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- $\tau_p > 10^{33}$  y - stable (if free state)
- $m_n/m_p = 1.0014$  !  
 $\Rightarrow$  very similar internal bounding fields
- if  $m_n \searrow$ :  $He/H \nearrow$  -  $\nexists$  stars like  $\odot$
- if  $m_n \nearrow$ : all atoms unstable (except H)

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## magnetic moment

Bohr magneton:  $\mu_N = e\hbar/2m_N c$

we would naively expect  $\mu_p = 1$  and  $\mu_n = 0$

⇒ first sign of nucleon charged sub-structure !

## - Part 1 -

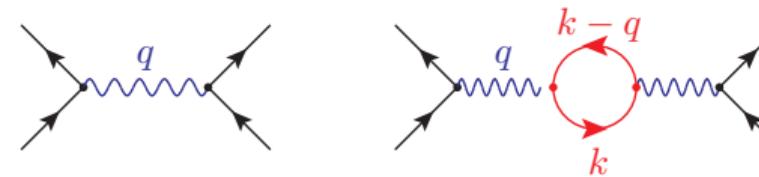
# $\alpha_S$ running, asymptotic freedom and confinement

## Screening effect in QED

- in QFT the vacuum fluctuates in virtual particle-antiparticle pairs
- in presence of an electric charge, these pairs get polarised
- this leads to an effective charge which depends on the distance to the probe

At LO :

$$(-i)e_0\gamma^\mu \cdot (-i)\frac{g_{\mu\nu}}{q^2} \cdot (-i)e_0\gamma^\nu = ie_0^2\gamma^\mu \frac{g_{\mu\nu}}{q^2}\gamma^\nu$$



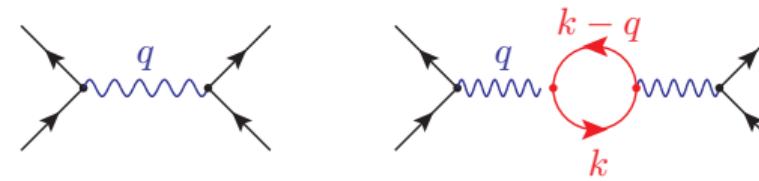
with one loop :

$$(-i)e_0\gamma^\mu (-i)\frac{g_{\mu\rho}}{q^2} (-1) \int \frac{d^4k}{(2\pi)^4} Tr \left[ (-i)e_0\gamma^\rho \frac{i(k+m)}{k^2 - m^2} (-i)e_0\gamma^\lambda \frac{i(k-q+m)}{(k-q)^2 - m^2} \right] (-i)\frac{g_{\lambda\nu}}{q^2} (-i)e_0\gamma^\nu$$

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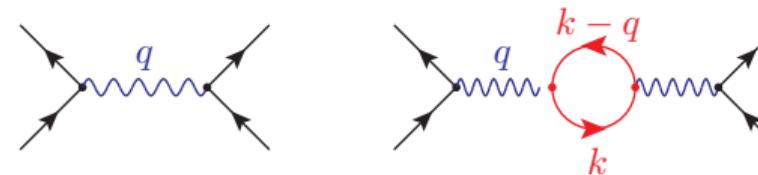
fermion loop

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trace because we sum over fermions spin states

does the trace converge ?

$$\int \frac{d^4 k}{(k^2 - m^2)^2} = \int \frac{k^3}{(k^2 - m^2)^2} dk d\Omega$$

divergent for  $k \rightarrow \infty$

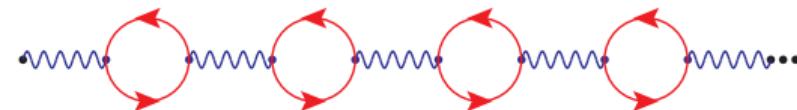
$$\int^{\infty} \frac{dk}{k} \rightarrow \int^{\mu_R} \frac{dk}{k} \quad \rightarrow \text{we introduce a cutoff}$$

the integral give a log, the **effective** charge is given by:

$$e_{eff}^2(1loop) = ie_0^2 \gamma^\mu \frac{g_{\mu\nu}}{q^2} \gamma^\nu \left[ 1 + \frac{e_0^2}{12\pi^2} \ln \left( \frac{m^2 - q^2}{\mu_R^2} \right) + \frac{e_0^2}{12\pi^2} F(q^2) \right]$$

where  $F(q^2)$  is a finite function vanishing for  $q^2 \rightarrow \infty$ .

## Adding more loops

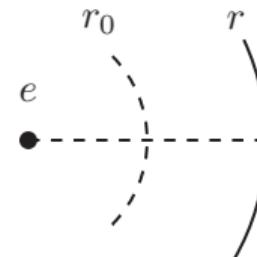


$$e_{eff}^2 = e_0^2 \left[ 1 + \frac{e_0^2}{3\pi} \ln \left( \frac{m^2 - q^2}{\mu_{UV}^2} \right) + \left( \frac{e_0^2}{3\pi} \ln \left( \frac{m^2 - q^2}{\mu_{UV}^2} \right) \right)^2 + \dots \right]$$

behaves arithmetic sequence, whose sum is (using  $Q^2 = -q^2$ ):

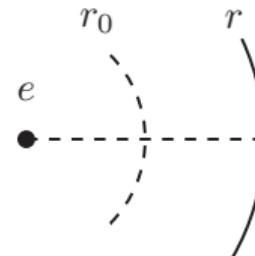
$$e_{eff}^2(Q^2) = \frac{e_0^2}{1 - \frac{e_0^2}{3\pi} \ln \frac{Q^2}{\mu_R^2}}$$

Interpretation : using the distance  $r^2 \sim 1/Q^2$  (sign in front of  $\ln$  changes)



$$e^2(r) = \frac{e^2(r_0)}{1 + \frac{2e^2(r_0)}{3\pi} \ln \frac{r}{r_0}}$$

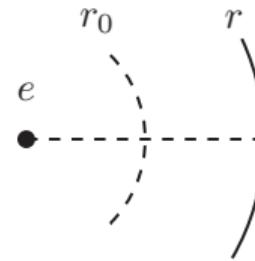
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if  $r \gg r_0$        $\simeq \frac{e^2(r_0)}{e^2(r_0) \dots} \rightarrow \alpha = 1/137$   
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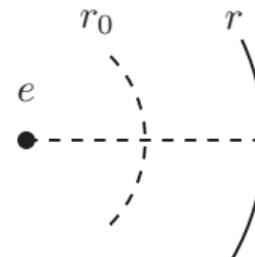


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if  $r_0 \rightarrow 0$        $\simeq \frac{e^2(r_0)}{\infty}$  only possible  
                                if  $e^2(r_0 \rightarrow 0) \rightarrow \infty$

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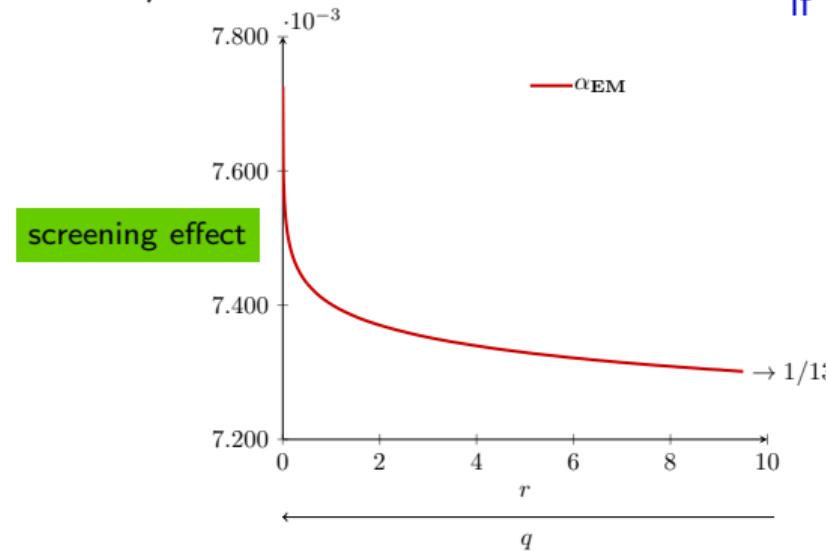
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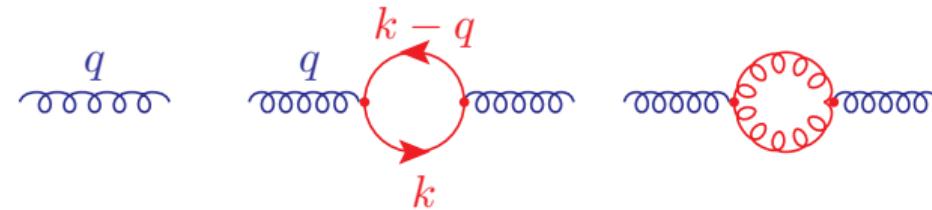
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in QCD: situation partially similar, on additional gluon loop

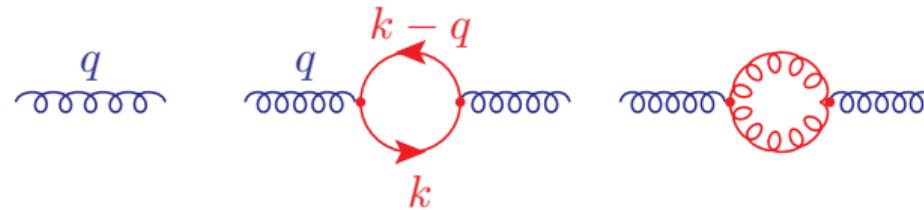


- the **fermion loop** ( $N_f$ ) is similar to the QED case
- the additional **gluon loop** comes with a  $(-1)$
- sum over  $N_c$  colors

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu_R^2)}{1 - \frac{2N_f - 11N_c}{6\pi} \alpha_s(\mu_R^2) \ln \frac{Q^2}{\mu_R^2}}$$

- competition between  $N_f$  and  $N_c$
- as  $b = 2N_f - 11N_c < 0 \Rightarrow$  anti-screening effect

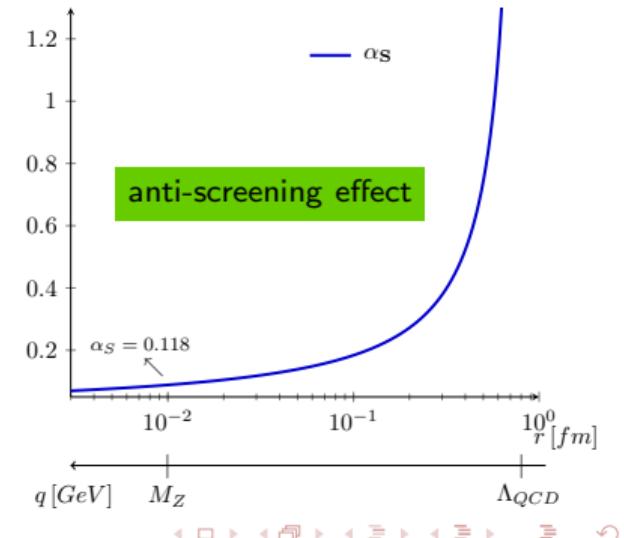
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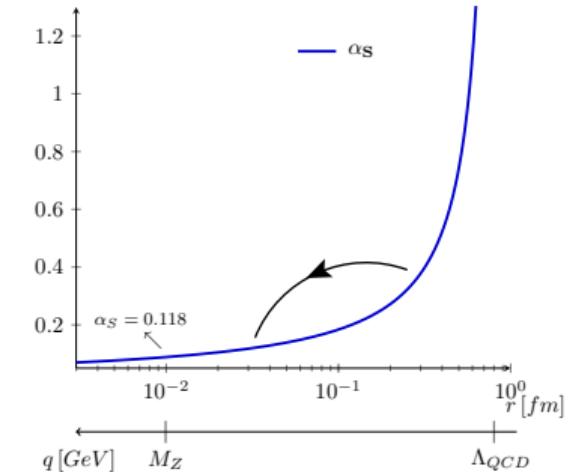
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- $\Lambda_{QCD}$  is defined as the divergent scale value:

$$\alpha_s(\Lambda_{QCD}^2) = \frac{\alpha_s(\mu_R^2)}{0}$$

- $\Lambda_{QCD} \simeq m_\pi \simeq 100$  MeV



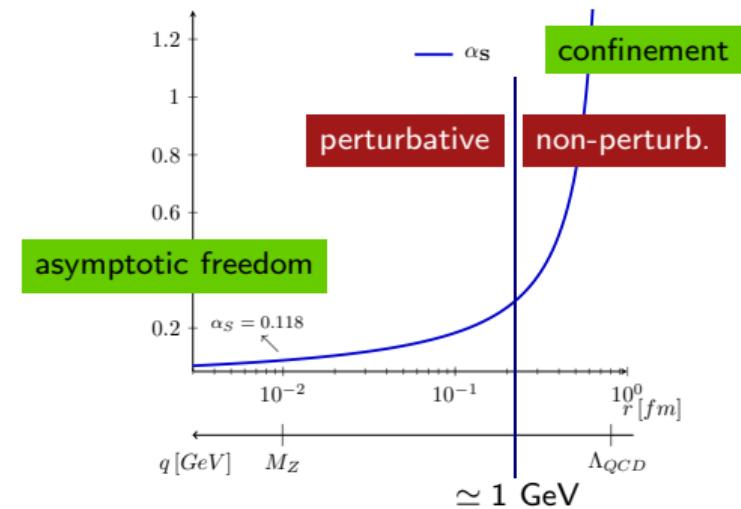
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- $\Lambda_{QCD} \simeq m_\pi \simeq 100$  MeV
  - to apply perturbative methods:  $\alpha_S \ll 1$   
i.e.  $Q \gg \Lambda_{QCD}$
  - if non-pert. (no Fey. diag, etc) but  $\mathcal{L}_{QCD}$  is valid

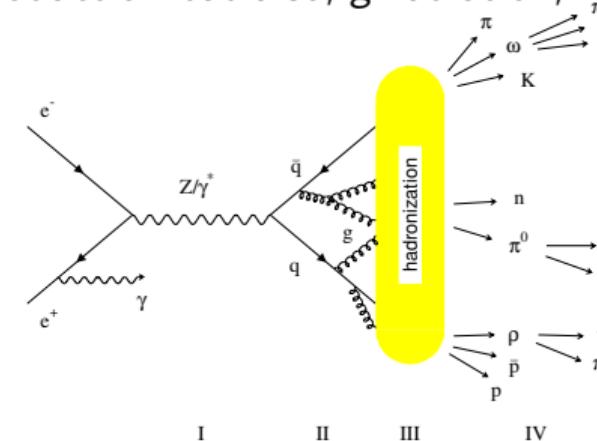


## - Part 2 -

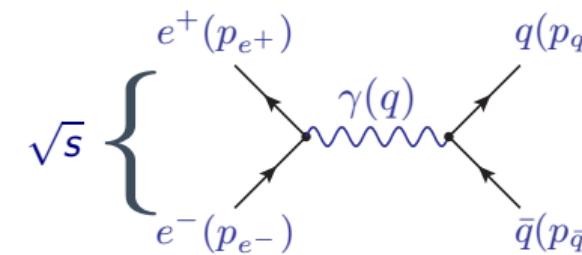
$e^+ e^-$  annihilation and QCD

# quark production from $e^-e^+$ annihilation

- the **cleanest way** to produce quarks is from  $e^-e^+$  annihilations:
  - **no color** charge in the initial state
  - pure **electroweak** process (at LO)
  - initial state momentum fully transferred to the  $q\bar{q}$
- allows us to study  $q$  production but also,  $g$  radiation, hadronisation, . . .



- at LO, for  $\gamma$  exchange only:

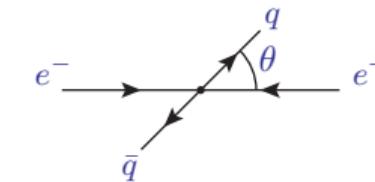


- negl. mass terms :

$$|\mathcal{M}|^2 = 8(4\pi)^2 \frac{\alpha^2}{q^4} N_c Q_i^2 \{(p_{e^+} \cdot p_q)(p_{e^-} \cdot p_{\bar{q}}) + (p_{e^+} \cdot p_{\bar{q}})(p_{e^-} \cdot p_q)\}$$

- only 1 degree of freedom
- in the c.m.s.:

$$\frac{d\sigma}{dcos\theta} = \frac{\pi\alpha^2}{2s} N_c Q_i^2 (1 + \cos^2 \theta)$$



## acces to quark electric charges

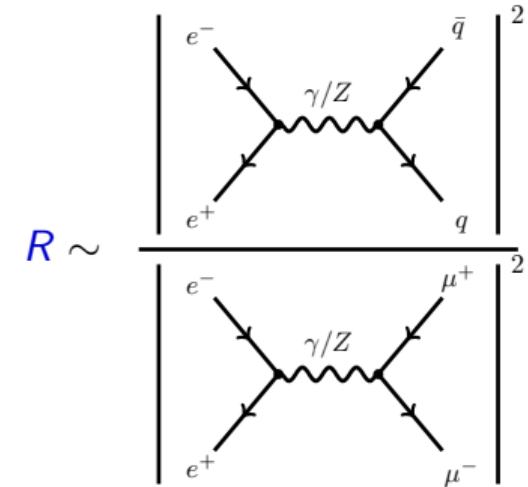
- as all hadrons come from quarks and that hadrons only come from quarks :

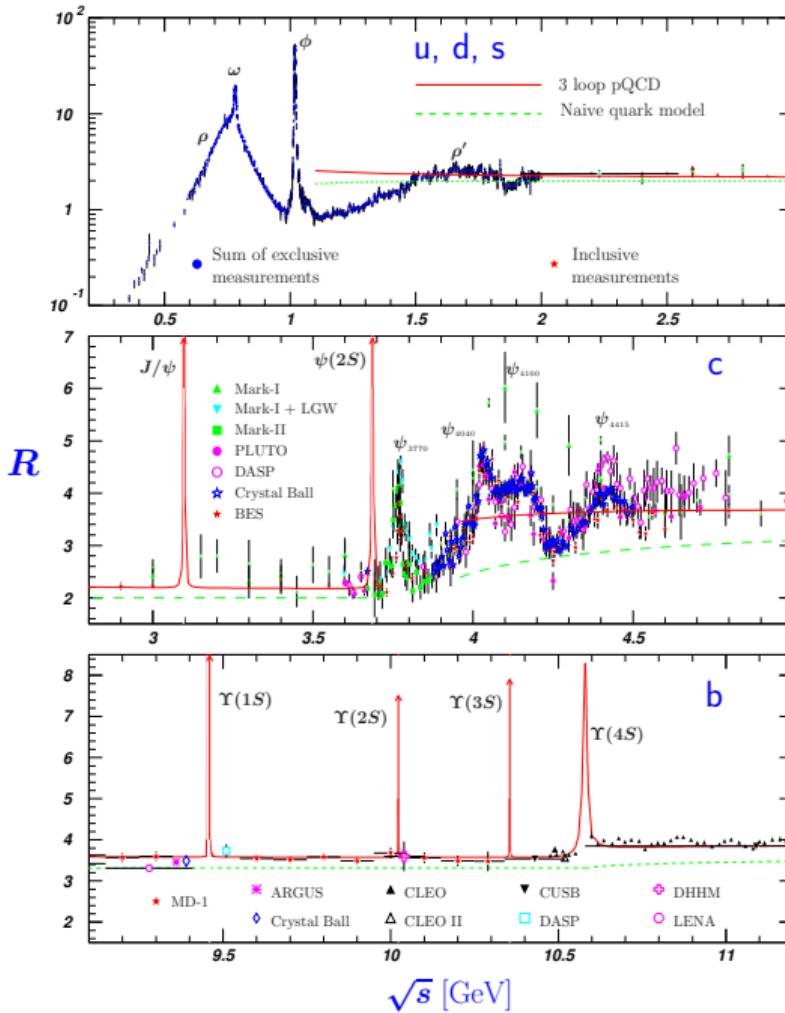
$$\sigma(e^+e^- \rightarrow X(\text{any hadron})) = \sum_{i=1}^{N_f} \sigma(e^+e^- \rightarrow q\bar{q}) = \frac{4\pi\alpha^2}{3s} N_c \sum_{i=1}^{N_f} Q_i^2$$

- we can study the observable

$$R = \frac{\sigma(e^+e^- \rightarrow X)}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = \frac{\frac{4\pi\alpha^2}{3s} N_c \sum_{i=1}^{N_f} Q_i^2}{\frac{4\pi\alpha^2}{3s}} = N_c \sum_{i=1}^{N_f} Q_i^2$$

- is this very simple model realistic



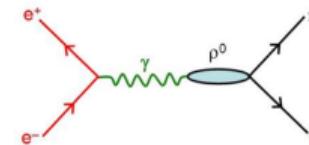


$$\begin{aligned}
 R &= \frac{\sigma(e^+e^- \rightarrow X)}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = N_c \sum_{i=1}^{N_f} Q_i^2 \\
 &= 3 \left[ \left(\frac{2}{3}\right)^2 + \left(\frac{-1}{3}\right)^2 + \left(\frac{-1}{3}\right)^2 + \dots \right] \\
 &= 2 \quad \text{for } 2m_s \ll \sqrt{s} \ll 2m_c \\
 &= 10/3 \quad \text{for } 2m_c \ll \sqrt{s} \ll 2m_b \\
 &= 11/3 \quad \text{for } 2m_b \ll \sqrt{s} \ll m_Z
 \end{aligned}$$

- amazing success if away from resonances

quark charges are as expected

- if close to a resonance, the important npQCD corrections only in the numerator

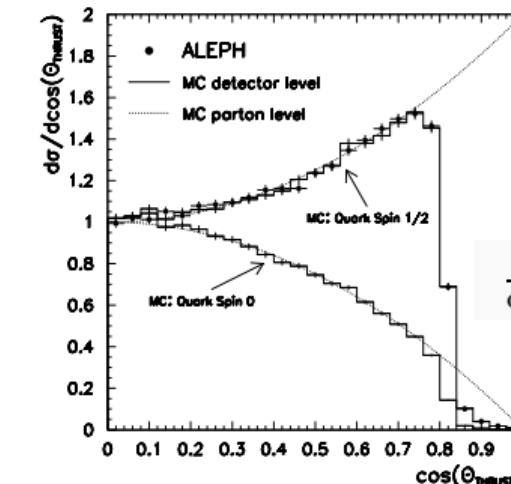
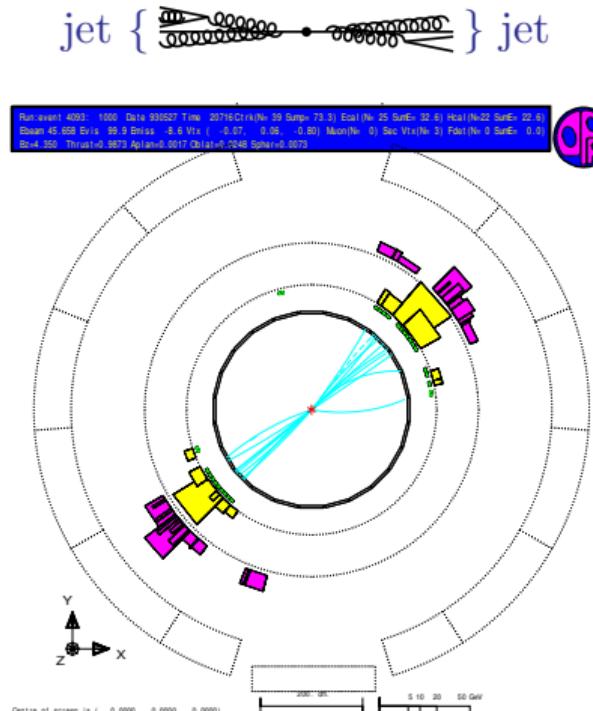


**jets** - during the had. process, hadrons are forming around the initial quark direction

- two particles below to different jets only if

$$p_i \cdot p_j \gg \Lambda_{QCD}^2$$

- angular measurement:

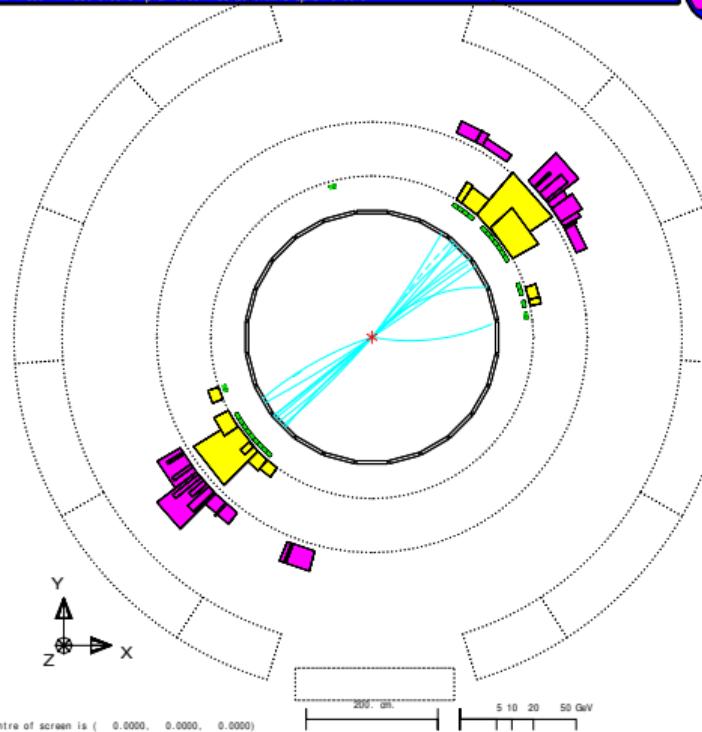


$$\frac{d\sigma}{d\cos\theta} \sim (1 + \cos^2\theta)$$

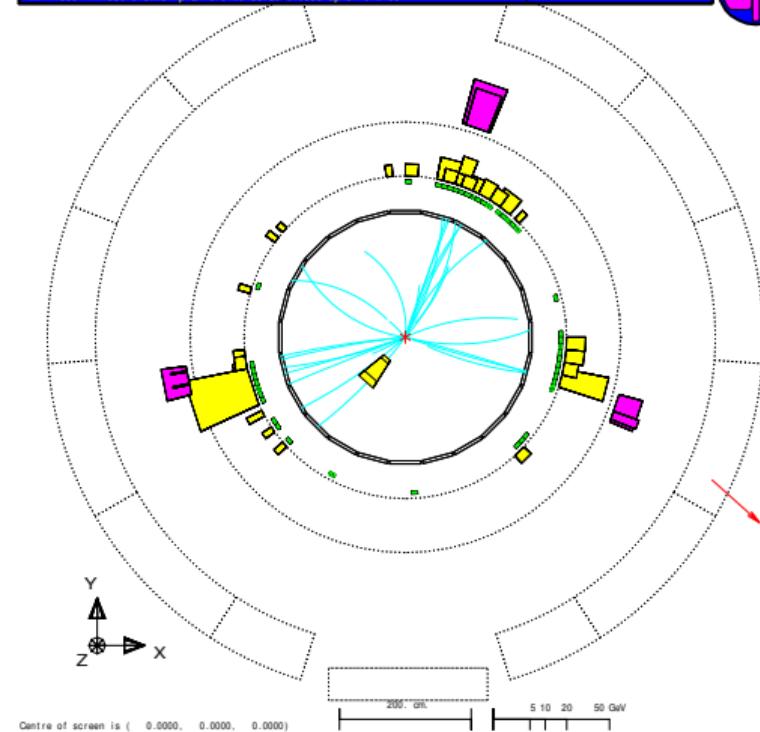
the quarks are spin 1/2 !

- excellent agreement between jet and quark directions

Run: event 4093: 1000 Date 930527 Time 20716 Ctrk(N= 39 Surp= 73.3) Ecal(N= 25 SurE= 32.6) Hcal(N=22 SurE= 22.6)  
Ebeam 45.858 Evis 99.9 Emass -8.6 Vtx (-0.07, -0.06, -0.80) Muon(N= 0) Sec Vtx(N= 3) Fdet(N= 0 SurE= 0.0)  
Bz=4.350 Thrust=0.9873 Aplan=0.0017 Objlat=0.0248 Spher=0.0073

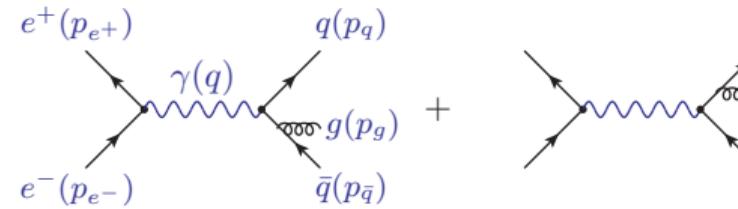


Run: event 2542: 63750 Date 911014 Time 35925 Ctrk(N= 28 Surp= 43.1) Ecal(N= 42 SurE= 59.8) Hcal(N= 8 SurE= 12.7)  
Ebeam 45.809 Evis 86.2 Emass 5.0 Vtx (-0.05, -0.12, -0.90) Muon(N= 1) Sec Vtx(N= 0) Fdet(N= 2 SurE= 0.0)  
Bz=4.350 Thrust=0.8223 Aplan=0.0120 Objlat=0.3338 Spher=0.2463



# First pQCD correction

- up to now, what we saw was driven by EW ME + hadronisation
- additional jets are due to pQCD effects: gluon radiation from the quark lines



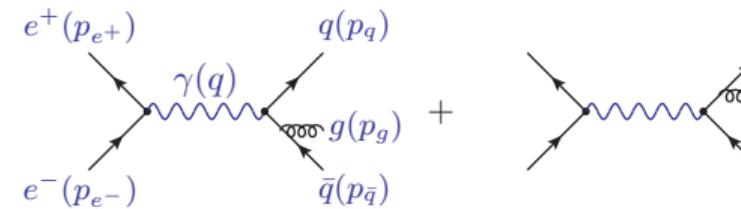
$$e^+ e^- \rightarrow q\bar{q} : |\mathcal{M}|^2 = 8(4\pi)^2 \alpha^2 N_c Q_i^2 \frac{(p_{e^+} \cdot p_q)(p_{e^-} \cdot p_{\bar{q}}) + (p_{e^+} \cdot p_{\bar{q}})(p_{e^-} \cdot p_q)}{q^4}$$

$$e^+ e^- \rightarrow q\bar{q}g : |\mathcal{M}|^2 = 8(4\pi)^3 \alpha^2 \alpha_S C_F N_c Q_i^2 \frac{(p_{e^+} \cdot p_q)(p_{e^-} \cdot p_{\bar{q}}) + (p_{e^+} \cdot p_{\bar{q}})(p_{e^-} \cdot p_q)}{(p_{e^+} \cdot p_{e^-})(p_q \cdot p_g)(p_{\bar{q}} \cdot p_g)}$$

1) couplings:  $\alpha^2 \rightarrow \alpha^2 \alpha_S$  - first QCD correction

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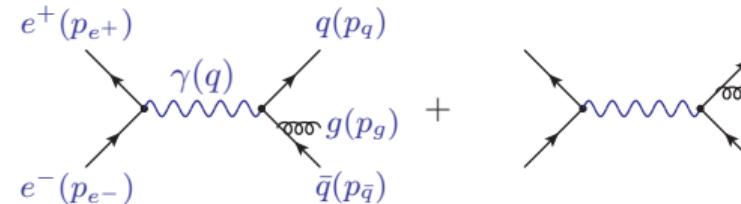
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2) factors:  $N_c \rightarrow C_F N_c$

$C_F$  is the color combinatorics for one gluon radiation from a quark with a given color,  $C_F = 4/3$  (coming from the  $\lambda^a$ )

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- additional jets are due to pQCD effects: gluon radiation from the quark lines



$$e^+ e^- \rightarrow q\bar{q} : |\mathcal{M}|^2 = 8(4\pi)^2 \alpha^2 N_c Q_i^2 \frac{(p_{e^+} \cdot p_q)(p_{e^-} \cdot p_{\bar{q}}) + (p_{e^+} \cdot p_{\bar{q}})(p_{e^-} \cdot p_q)}{q^4}$$

$$e^+ e^- \rightarrow q\bar{q}g : |\mathcal{M}|^2 = 8(4\pi)^3 \alpha^2 \alpha_S C_F N_c Q_i^2 \frac{(p_{e^+} \cdot p_q)(p_{e^-} \cdot p_{\bar{q}}) + (p_{e^+} \cdot p_{\bar{q}})(p_{e^-} \cdot p_q)}{(p_{e^+} \cdot p_{e^-})(p_q \cdot p_g)(p_{\bar{q}} \cdot p_g)}$$

3) kinematics:

- note that:  $q^4 = 4(p_{e^+} \cdot p_{e^-})(p_q \cdot p_{\bar{q}})$
- so, the kin effect is:  $(p_q \cdot p_g) \rightarrow (p_q \cdot p_g)(p_{\bar{q}} \cdot p_g)$  - where does it come from ?

- adding a **quark propagator** in the ME calculation
- neglecting masses and in **soft gluon** approximation:

$$\sim \frac{(p_q + p_g) + m_q}{(p_q + p_g)^2 - m_q^2} \not{\epsilon} \stackrel{m_q=0}{\simeq} \frac{p_q + p_g}{2(p_q \cdot p_g)} \not{\epsilon} \stackrel{|p_g| \ll |p_q|}{\simeq} \frac{1}{2} \frac{\epsilon \cdot p_q}{p_q \cdot p_g}$$

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- neglecting masses and in **soft gluon** approximation:

$$\begin{array}{c} \text{Diagram 1: } \text{Wavy line } p_q + p_g \text{ splits into } q(p_q) \text{ and } \bar{q}(p_{\bar{q}}). \text{ A gluon } g(p_g, \epsilon) \text{ with momentum } p_g \text{ is emitted from the quark line.} \\ \text{Diagram 2: } \text{Wavy line } p_{\bar{q}} + p_g \text{ splits into } \bar{q}(p_{\bar{q}}) \text{ and } q(p_q). \text{ A gluon } g(p_g, \epsilon) \text{ with momentum } p_g \text{ is emitted from the gluon line.} \end{array}$$
$$\begin{aligned} & \sim \frac{(p_q + p_g) + m_q}{(p_q + p_g)^2 - m_q^2} \not{\epsilon} \stackrel{m_q=0}{\simeq} \frac{p_q + p_g}{2(p_q \cdot p_g)} \not{\epsilon} \stackrel{|p_g| \ll |p_q|}{\simeq} \frac{1}{2} \frac{\epsilon \cdot p_q}{p_q \cdot p_g} \\ & \sim \frac{1}{2} \frac{\epsilon \cdot p_{\bar{q}}}{p_{\bar{q}} \cdot p_g} \end{aligned}$$

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$$\sim \frac{1}{2} \frac{\epsilon \cdot p_{\bar{q}}}{p_{\bar{q}} \cdot p_g}$$

- putting them together and after sum over the gluon polarisation states (only 2 transverse states):

$$d\sigma_{q\bar{q}g} = \frac{\alpha_S}{2\pi} C_F \left[ \frac{p_{\bar{q}}}{p_{\bar{q}} \cdot p_g} - \frac{p_q}{p_q \cdot p_g} \right]^2 \frac{d^3 p_g}{(2\pi)^3 p_g^0} d\sigma_{qq}$$

- note : the sign difference comes from the orientation of  $\vec{\epsilon}$

$$\cdots \left[ \frac{p_{\bar{q}}}{p_{\bar{q}} \cdot p_g} - \frac{p_q}{p_q \cdot p_g} \right]^2 d\sigma_{qq} \simeq \cdots 2 \frac{p_{\bar{q}} \cdot p_q}{(p_{\bar{q}} \cdot p_g)(p_q \cdot p_g)} d\sigma_{qq}$$

- with the term from  $d\sigma_{qq}$ :

$$2 \frac{p_{\bar{q}} \cdot p_q}{(p_{\bar{q}} \cdot p_g)(p_q \cdot p_g)} \frac{1}{4(p_{e^+} \cdot p_{e^-})(p_q \cdot p_{\bar{q}})} = \frac{1}{2(p_{e^+} \cdot p_{e^-})(p_{\bar{q}} \cdot p_g)(p_q \cdot p_g)}$$

$\nearrow 1/q^4$

$\Rightarrow$  we find back:

$$e^+ e^- \rightarrow q\bar{q}g : |\mathcal{M}|^2 = 8(4\pi)^3 \alpha^2 \alpha_S C_F N_c Q_i^2 \frac{(p_{e^+} \cdot p_q)(p_{e^-} \cdot p_{\bar{q}}) + (p_{e^+} \cdot p_{\bar{q}})(p_{e^-} \cdot p_q)}{(p_{e^+} \cdot p_{e^-})(p_q \cdot p_g)(p_{\bar{q}} \cdot p_g)}$$

$$\cdots \left[ \frac{p_{\bar{q}}}{p_{\bar{q}} \cdot p_g} - \frac{p_q}{p_q \cdot p_g} \right]^2 d\sigma_{qq} \simeq \cdots 2 \frac{p_{\bar{q}} \cdot p_q}{(p_{\bar{q}} \cdot p_g)(p_q \cdot p_g)} d\sigma_{qq}$$

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⚠ it presents singularities 💣

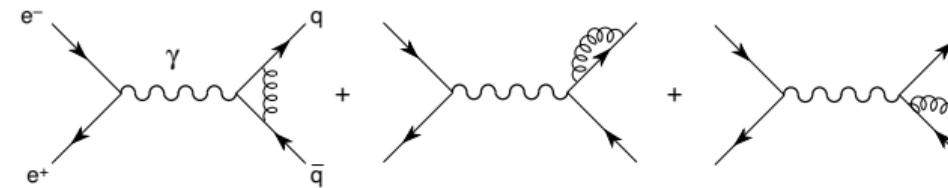
if  $p_q \cdot p_g \rightarrow 0$  and/or  $p_{\bar{q}} \cdot p_g \rightarrow 0$

## two singularities

$$\begin{aligned} p_q \cdot p_g &= E_q E_g - \vec{p}_q \cdot \vec{p}_g = E_q E_g - |\vec{p}_q| |\vec{p}_g| \cos \theta_{qg} \\ &\simeq E_q E_g (1 - \cos \theta_{qg}) \end{aligned}$$

$\Rightarrow$  singularities for  $p_q \cdot p_g \rightarrow 0$  (same for  $\bar{q}g$ ):

- $E_g \rightarrow 0$  (soft gluon limit)
  - $\theta_{qg} \rightarrow 0$  (collinear limit)
- they correspond to a double pole (when both limits occur at the same time) and a single pole.
- these IR poles are exactly cancelled by the virtual correction UV poles



## comparison to data

$$d\sigma_{q\bar{q}g} = \frac{\alpha_s}{2\pi} C_F \left[ \frac{p_{\bar{q}}}{p_{\bar{q}} \cdot p_g} - \frac{p_q}{p_q \cdot p_g} \right]^2 \frac{d^3 p_g}{(2\pi)^3 p_g^0} d\sigma_{qq}$$

- the cross section has 2 physical degrees of freedom :

+9 free variables ( $d^3 p_q, d^3 p_{\bar{q}}, d^3 p_g$ )

-4 relations ( $E, \vec{P}$  conservation)

-3 independent (non relevant here - unpolarised case ) Euler angles  
= 2

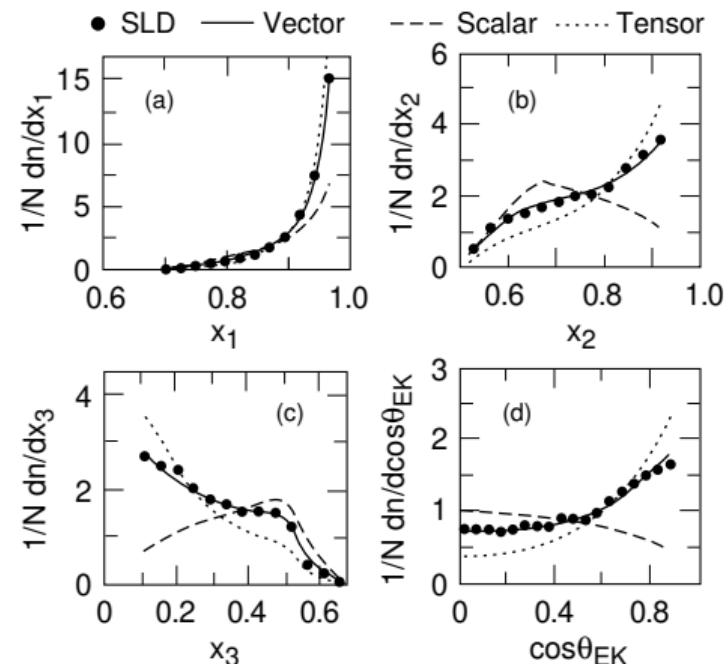
- we choose :  $x_q = 2E_q/\sqrt{s}$        $x_{\bar{q}} = 2E_{\bar{q}}/\sqrt{s}$        $x_g = 2E_g/\sqrt{s}$

related by  $x_q + x_{\bar{q}} + x_g = 2$  and  $1 - x_i = \frac{1}{2}x_j x_k (1 - \cos \theta_{jk})$

$$\frac{d^2 \sigma_{q\bar{q}g}}{dx_q dx_{\bar{q}}} = \frac{\alpha_s}{2\pi} C_F \frac{x_q^2 + x_{\bar{q}}^2}{(1 - x_q)(1 - x_{\bar{q}})} \sigma_{q\bar{q}}$$

- 2 singularities correspond to  $x_q \rightarrow 1$  and  $x_{\bar{q}} \rightarrow 1$

- in data we don't know which is  $q$  jet, ...
- sort the 3 jets by decreasing energy :
  - $E_1 > E_2 > E_3$
  - define:  $x_i = 2E_i/\sqrt{s}$
- jet 1:  $q/\bar{q}$  jet almost unaffected ( $x_1 \rightarrow 1$  pole)
- jet 2:  $q/\bar{q}$  jet with significant energy loss +  $g$  jet such that  $E_{q/\bar{q}} > E_g > E_{q/\bar{q}}$
- jet 3: mainly the gluon jet (falling distribution) +  $q/\bar{q}$  jet of the above case
- $\cos\theta_{EK} = \frac{\sin\theta_2 - \sin\theta_3}{\sin\theta_1}$

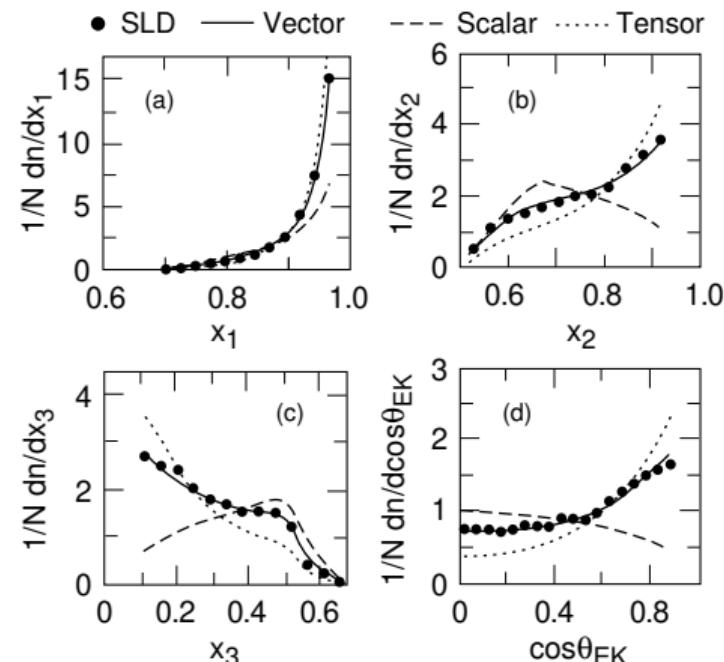


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such that  $E_{q/\bar{q}} > E_g > E_{q/\bar{q}}$
  - jet 3: mainly the gluon jet (falling distribution)  
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$$-\cos \theta_{EK} = \frac{\sin \theta_2 - \sin \theta_3}{\sin \theta_1}$$

gluons are spin 1 !

pure vectorial current ( $\gamma^\mu$ )



# That's all for today