

# Perturbative and colorful lectures on **Strong Interactions**

lecture 2/4

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## collinear approximation

$$\frac{d^2\sigma_{q\bar{q}g}}{dx_q dx_{\bar{q}}} = \frac{\alpha_s}{2\pi} C_F \frac{x_q^2 + x_{\bar{q}}^2}{(1-x_q)(1-x_{\bar{q}})} \sigma_{q\bar{q}}$$

- let's express the cross section as a function of  $z = x_g$  and  $\theta_{qg}$
- in the **limit of small angles**  $\theta_{qg}$  (such as  $1 - \cos\theta \simeq \theta^2/2$ ), on finds:

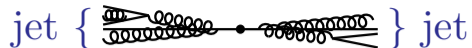
$$d^2\sigma_{q\bar{q}g} = \sigma_{q\bar{q}} C_F \frac{\alpha_s}{2\pi} [1 + (1-z)^2] \frac{dz}{z} \frac{d\theta^2}{\theta^2}$$

- the singularity  $\theta \rightarrow 0$  is avoided by the non zero quark masses
- **dead cone effect**: radiations are suppressed in a cone  $\theta < \theta_{min}$
- we integrate over the angle, and keep only the leading term, corresponding to  $\theta_{min} = m_q/E_e$  we get the famous **Leading Log approximation (LL)**:

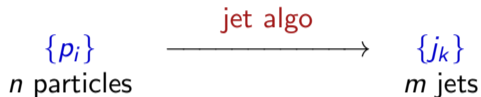
$$d\sigma_{q\bar{q}g} = \sigma_{q\bar{q}} C_F \frac{\alpha_s}{2\pi} [1 + (1-z)^2] \ln \frac{E_e^2}{m_q^2} \frac{dz}{z}$$

- this approximation is used in many **Monte Carlo simulation for the parton shower**

## Jet algorithms

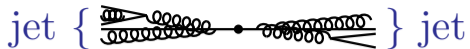


- obs: hadrons are collimated along the parton they originate from
- jet algorithm: mapping between  $n$  particles and  $m$  jets

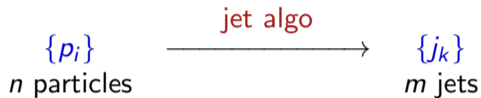


- the way to cluster them is **arbitrary**
- need to be **infra-red** and **collinear safe**
- depend on a **resolution parameter**

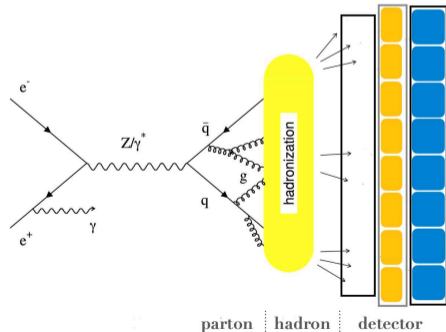
# Jet algorithms



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- the way to cluster them is **arbitrary**
- need to be **infra-red** and **collinear safe**
- depend on a **resolution parameter**
- can be applied at **different levels**:
- usually data and MC are compared at hadron level



## Example 1: **JADE** algorithm

- **JADE** algorithm:

$i$  and  $j$  are in a same jet if  $M_{ij}^2 = (p_i + p_j)^2 < y_{cut} s$

→ they are gathered in a forming jet with  $p = p_i + p_j$

if **not**, they belong to different jets

- ends up when looped on all particles

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- weak point: some wrong associations: 2 low energy particles at large angle can be associated in a jet.



## Jet algorithms: anti-kt

- many other algorithms have been developed
- some are more adapted to  $e^+e^-$  collisions, others to  $pp$  collisions
- at the LHC, the **anti- $k_t$**  algorithm is widely used:

- compute **distances between  $i$  and  $j$**  ( $R$  is a parameter)

$$d_{ij} = \min \left[ 1/k_{t,i}^2, 1/k_{t,j}^2 \right] \Delta_{ij}^2 / R^2 \quad \Delta_{ij}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$$

- compute **distance between  $i$  and the beam**

$$d_{iB} = 1/k_{t,i}^2$$

- for  $i$ , if **min is  $d_{iB}$**   $\rightarrow i$  is a jet
- if **min is  $d_{ij}$**  to the two particles are combined

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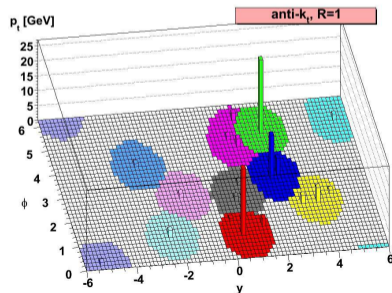
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- for  $i$ , if **min is  $d_{iB}$**   $\rightarrow$   $i$  is a jet
- if **min is  $d_{ij}$**  to the two particles are combined
- very nice conical jets not depending on low  $p_t$  particles
- LHC uses  $R = 0.4$  (or 0.8 for fat jets)





## Jet multiplicity

- let's come back to  $e^+e^- \rightarrow q\bar{q}g$  and to **JADE** algo
- there are 3 jets if **for the 3 pairs** :

$$M_{ij}^2 = \frac{s}{2} x_i x_j (1 - \cos \theta_{ij}) = \frac{s}{4} [(x_i + x_j)^2 - x_k^2] = s(1 - x_k) > s y_{cut}$$

e.i.  $x_q < 1 - y_{cut}$      $x_{\bar{q}} < 1 - y_{cut}$      $x_g < 1 - y_{cut}$

- the **3 jet rate** defined as  $f_3 = \sigma_{3jet}/\sigma_{tot}$  can be computed

$$\sigma_{tot} f_3 = \int d\sigma_{q\bar{q}g} = C_F \frac{\alpha_S}{2\pi} \int_{2y_{cut}}^{1-y_{cut}} \frac{dx_q}{1-x_q} \int_{1+y_{cut}-x_q}^{1-y_{cut}} \frac{dx_{\bar{q}}(x_q^2 + x_{\bar{q}}^2)}{1-x_{\bar{q}}}$$

## Jet multiplicity

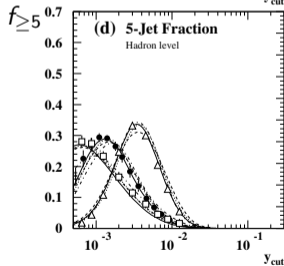
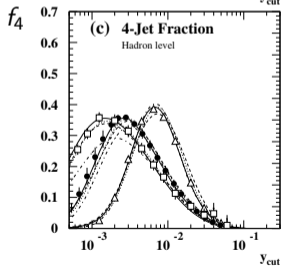
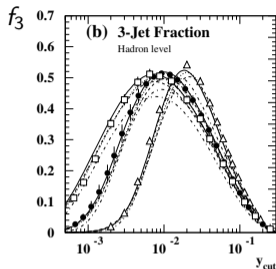
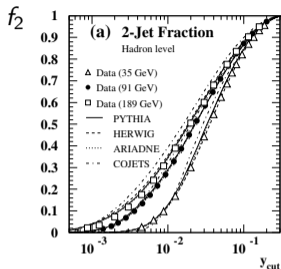
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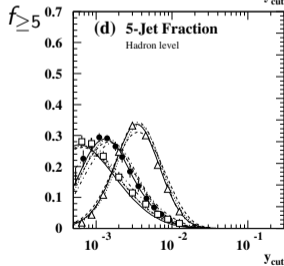
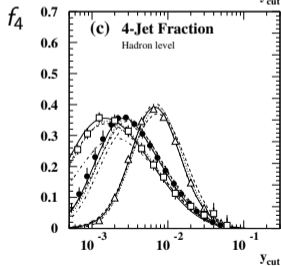
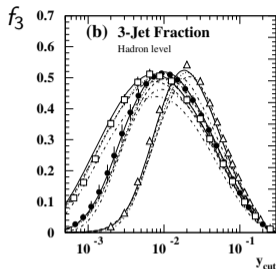
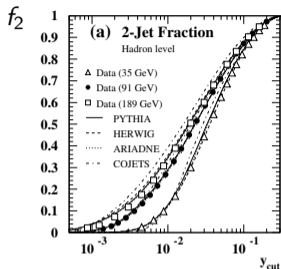
$$f_i = \sigma_{i \text{ jets}} / \sigma_{tot}$$

-  $N_{jet} \geq 2$

- if  $y_{cut} \nearrow \Rightarrow N_{jet} \searrow$

(because each jet includes a larger fraction of  $s$ )

- depends on  $s$  ! - why ?



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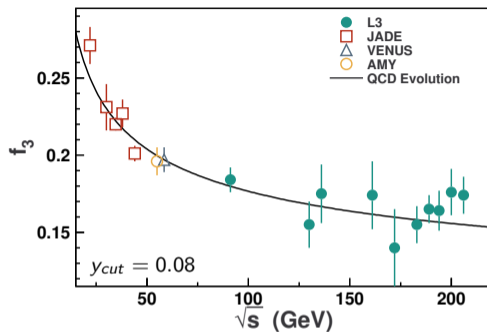
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$\Rightarrow$  way to measure  $\alpha_S$ !

- sensitivity to  $\alpha_S \nearrow$  with  $N_{\text{jet}} \nearrow$  but stat  $\searrow$



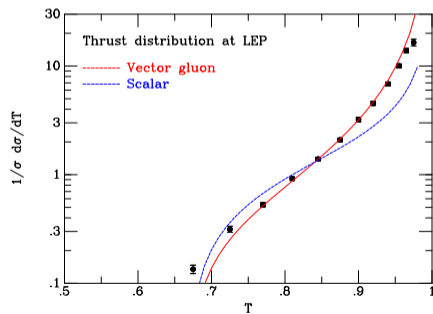
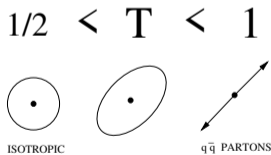
- energy scale dependence in good agreement with the QCD predicted evolution

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu_R^2)}{1 + \frac{23}{6\pi} \alpha_s(\mu_R^2) \ln \frac{Q^2}{\mu_R^2}}$$

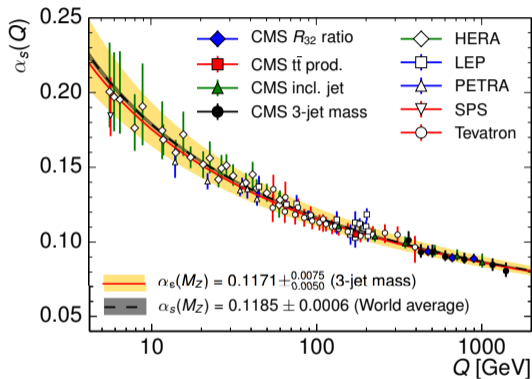
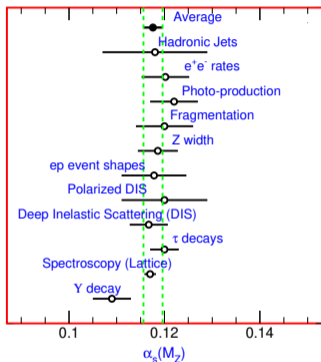
# Event Shape

- jet independent observables also provide a sensitivity to  $\alpha_S$
- example : **Thrust**

$$T = \max_{\vec{n}} \left( \frac{\sum_i |\vec{p}_i \cdot \vec{n}|}{\sum_i |\vec{p}_i|} \right)$$



# Comparison of worldwide $\alpha_s$ measurements



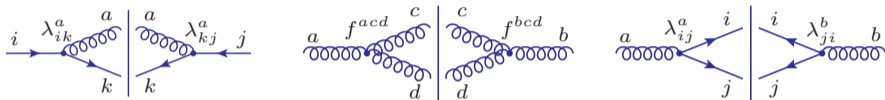
- precision at ‰ level

great success

- it has been measured that  $\alpha_s$  is independent of the quark flavor.

# Test of QCD gauge structure

- what are the **free parameters** of the different QCD couplings ?



$$(1) \sum_a (\lambda_{ik}^a \lambda_{kj}^a) = 4C_F \delta_{ij}$$

$$C_F = T_F \frac{N^2 - 1}{N} = \frac{4}{3}$$

$$(2) \sum_{cd} f^{acd} f^{bcd} = C_A \delta_{ab}$$

$$C_A = 2 T_F N = 3$$

$$(3) \text{Tr} (\lambda^a \lambda^b) = 4 T_F \delta^{ab}$$

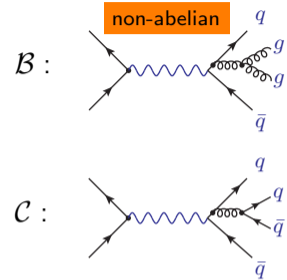
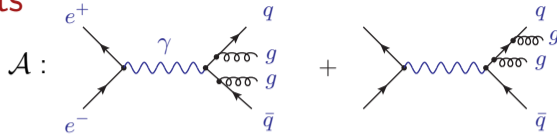
$$T_F = \frac{1}{2}$$

- to confirm that QCD has the correct gauge structure

⇒ to access the gauge parameters  $C_F$ ,  $C_A$  and  $T_F$ , we need to study **4-jet events**.

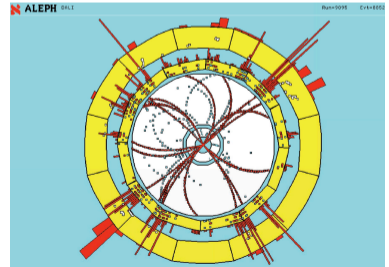


# 4-jet events

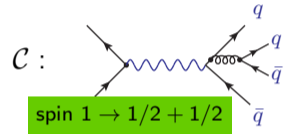
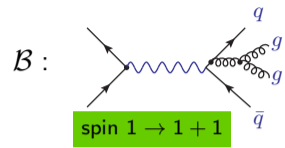
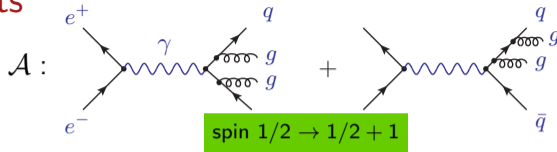


$$d\sigma = \left(\frac{\alpha_S}{2\pi}\right)^2 [C_F^2 \mathcal{A} + C_F C_A \mathcal{B} + C_F T_F n_f \mathcal{C}]$$

-  $\mathcal{A}, \mathcal{B}$  and  $\mathcal{C}$  are **kinematic functions** corresponding to the diagrams

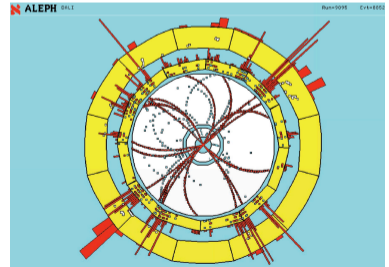


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- $\mathcal{A}, \mathcal{B}$  and  $\mathcal{C}$  are **kinematic functions** corresponding to the diagrams
- constraints on the gauge parameters can be obtained from **angular distributions**



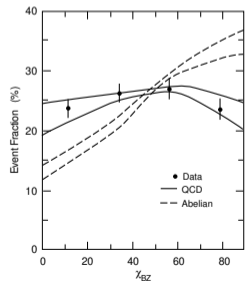
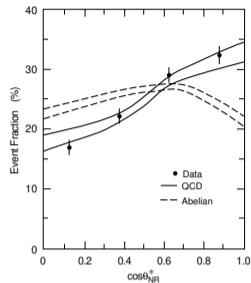
## 4-jet events

$$E_1 > E_2 > E_3 > E_4$$

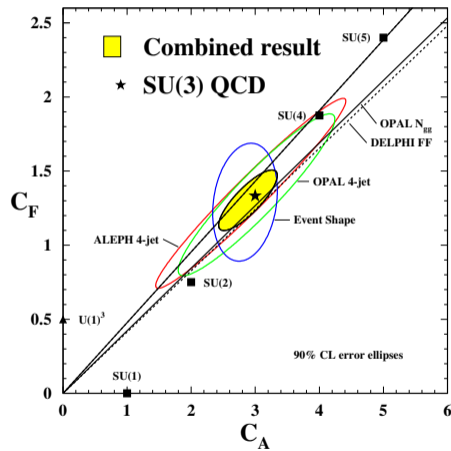
$$\cos \Theta_{NR^*} = \left| \frac{(\vec{p}_1 - \vec{p}_2) \cdot (\vec{p}_3 - \vec{p}_4)}{|\vec{p}_1 - \vec{p}_2| |\vec{p}_3 - \vec{p}_4|} \right|$$

$$\cos \chi_{BZ} = \left| \frac{(\vec{p}_1 \times \vec{p}_2) \cdot (\vec{p}_3 \times \vec{p}_4)}{|\vec{p}_1 \times \vec{p}_2| |\vec{p}_3 \times \vec{p}_4|} \right|$$

⇒ confirms the need a the non-abelian term



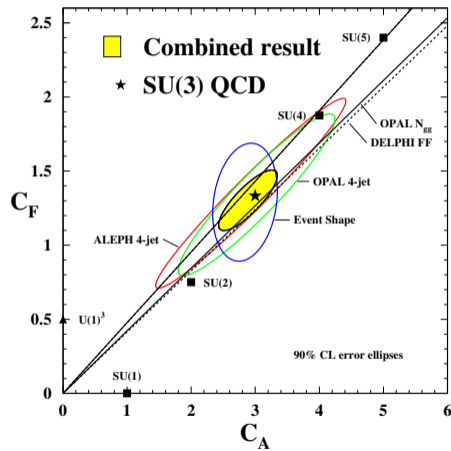
# Constraints on gauge parameters



- combination of 4-jets angle measurements with other measurement
- abelian  $U(1)$  excluded by 12 sigmas
- **only  $SU(3)$  is compatible !**

- $N_{gg}$ : limit from fraction of gluon jets
- FF: limit coming from Fragmentation Functions

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wonderful success

## section conclusions

- ✓ quark electric charges
- ✓ quark spin  $1/2$
- ✓ gluon spin 1
- ✓  $\alpha_S$  running
- ✓ need non-abelian term
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## section conclusions

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complete success !

- ⇒ QCD is a (the ?) good theory to describe strong interactions
- ⇒ can it be used to study the **hadron structure** (next section)
- ⇒ is it portable in **hadron-hadron interactions** ? (next-to-next section)

## - Part 3 -

# Structure of hadrons



## Structure of the proton

- proton in the quark model: **2 up quarks, 1 down quark.**
- the picture seems consistent: up-charge =  $+\frac{2}{3}$ ; down charge =  $-\frac{1}{3}$

$$2 \times \frac{2}{3} - 1 \times \frac{1}{3} = +1$$

- but is this **right?** Is it **all?**

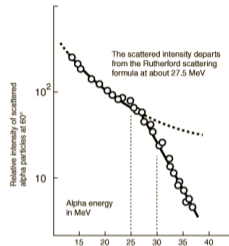
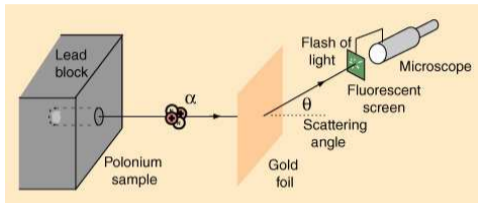
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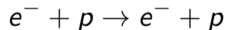
- but is this **right?** Is it **all?**
  - do we see interactions between them or are they free particles?
  - the colour field (gluons) between the quarks fluctuates in  $q\bar{q}$  pairs
    - ⇒ **gluons and sea quarks**
    - they carry a small fraction of the proton momentum
    - ⇒ **small x physics**
    - i.e. the study of a colour field inside the proton.
  - How can we study that? ⇒ **scattering experiments**

- 1909: Geiger and Marsden and Rutherford : Rutherford scattering - Atomic nucleus

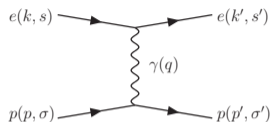


$$\langle r_{nucleus} \rangle < 10^{-14} m$$

⇒ let see use the same principle but using an elementary particle ( $e^-$ ) scattered off a  $p$



# Mott Scattering: point-like spin 1/2 off point-like spin 1/2

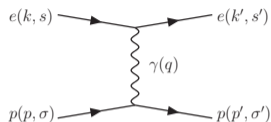


$$\mathcal{M} = \langle k', s' | J_e^\mu | k, s \rangle \frac{g_{\mu\nu}}{q^2} \langle p', \sigma' | J_p^\nu | p, \sigma \rangle$$

$$J_e^\mu = -ie \bar{u}(k', s') \gamma^\mu u(k, s)$$

$$J_p^\mu = -ie \bar{u}(p', \sigma') \gamma^\mu u(p, \sigma)$$

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$$|\overline{\mathcal{M}}|^2 = \frac{1}{4} \sum_{s, s', \sigma, \sigma'} |\mathcal{M}|^2 = \frac{e^4}{q^4} L^{\mu\nu} W_{\mu\nu}$$

$$\begin{aligned} L^{\mu\nu} &= \frac{1}{2} \sum_{s, s'} J_e^\mu J_e^\nu \\ &= 2(k'^\mu k^\nu + k^\mu k'^\nu - (k \cdot k' + m_e^2)g^{\mu\nu}) \end{aligned}$$

$$W^{\mu\nu} = 2(p'^\mu p^\nu + p^\mu p'^\nu - (p \cdot p' + m_p^2)g^{\mu\nu})$$

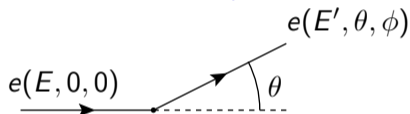
Using  $p' = p + k - k'$  and  $q^2 = -2k \cdot k'$  ( $m_e \ll k, k'$ ) the tensor product gives:

$$\overline{|\mathcal{M}|^2} = \frac{8e^4}{q^4} \left[ \frac{-q^2}{2}(k - k') \cdot p + 2(k \cdot p)(k' \cdot p) + \frac{m_p^2 q^2}{2} \right]$$

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in the lab frame (fixed target -  $p = (m_p, 0, 0, 0)$ )



$$p \cdot k = m_p E, \quad p \cdot k' = m_p E'$$

$$k \cdot k' = E E' (1 - \cos \theta)$$

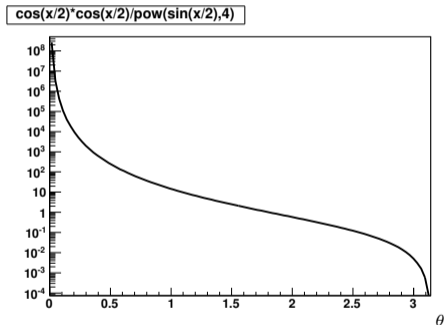
$$\text{and } E - E' = -\frac{q^2}{2m_p}$$

$$\overline{|\mathcal{M}|^2} = \frac{16e^4}{q^4} E E' m_p^2 \left( \cos^2(\theta/2) - \frac{q^2}{2m_p^2} \sin^2(\theta/2) \right)$$

$$\Rightarrow \boxed{\frac{d^2\sigma}{dE' d\Omega} = \frac{4\alpha^2}{Q^4} E'^2 \left[ \cos^2(\theta/2) - \frac{q^2}{2m_p^2} \sin^2(\theta/2) \right] \delta\left(E - E' + \frac{q^2}{2m_p}\right)}$$

**Note 1:** the first term corresponds to the classic calculation called the Mott scattering cross section:

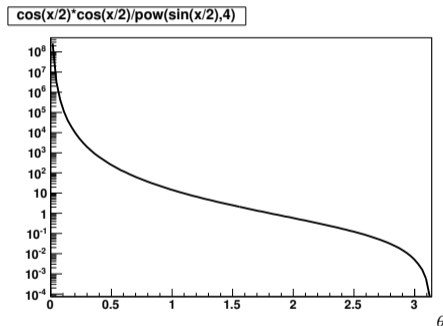
$$\frac{d\sigma_{\text{Mott}}}{d\Omega} = \frac{4\alpha^2}{Q^4} E'^2 \cos^2(\theta/2) = \frac{\alpha^2}{4E^2} \frac{\cos^2(\theta/2)}{\sin^4(\theta/2)}$$





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the **second term** vanishes for  $Q^2 \rightarrow 0$ , i.e. real photons (purely transverse).

Note 2: in the ultra relativistic limit, using Mandelstam variables:

$$s = (k + p)^2 = 2 p \cdot k,$$

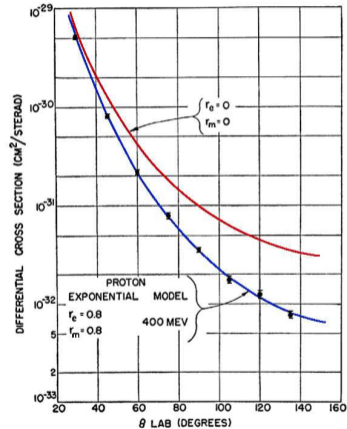
$$t = (k - k')^2 = q^2 = -2 k \cdot k',$$

$$u = (k - p')^2 = (k' - p)^2 = -2 p \cdot k',$$

$$\overline{|\mathcal{M}|^2} = 2e^4 \frac{s^2 + u^2}{t^2}$$

# Form Factors: elastic point-like spin 1/2 off hadronic target

1953 Hofstadter et al. (SLAC)

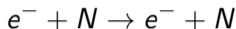
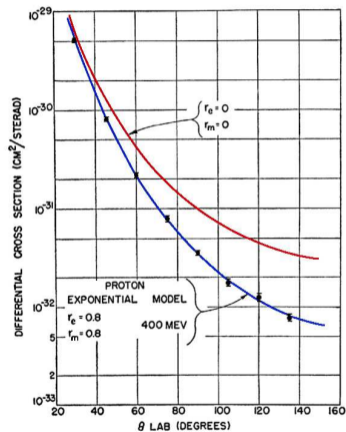


$$e^- + N \rightarrow e^- + N$$

Deviation w.r.t point-like scattering

# Form Factors: elastic point-like spin 1/2 off hadronic target

1953 Hofstadter et al. (SLAC)



Deviation w.r.t point-like scattering

Experimentalist way to introduce the FF:

$$\frac{d\sigma}{d\Omega_e} = \frac{d\sigma_{Mott}}{d\Omega_e} \times \left[ G_E(Q)^2 + \frac{\tau}{\epsilon} G_M(Q)^2 \right] \frac{1}{1+\tau}$$

$$\tau = \frac{Q^2}{4mp^2} \text{ and } \epsilon : \text{ virtual photon polarisation}$$

$$\frac{1}{1+\tau} = \frac{Ee}{Ee'} : \text{ recoil factor}$$

$$Q^2 = -q^2 = -(k' - k)^2 \simeq 2E E' (1 - \cos \theta)$$

$G_E$ : Fourier transform of the target electric charge distribution (resp.  $G_M$ : distribution of the magnetisation current densities)

$$G_E(Q) = \int d^3r \rho(r) e^{ir \cdot Q} \quad \text{with} \quad G_E(0) = 1$$

for small  $|q|$

$$G_E(Q) = \int \left( 1 + iQ \cdot r - \frac{(Q \cdot r)^2}{2} + \dots \right) \rho(r) d^3r$$

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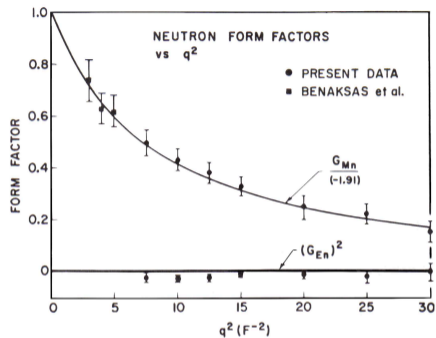
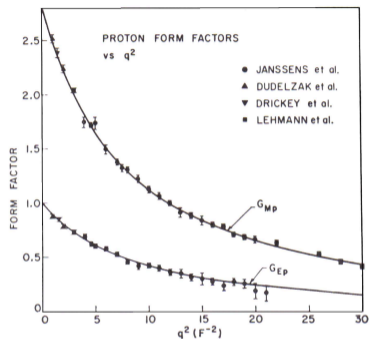
Average squared radius :

$$\langle r^2 \rangle = \int r^2 \rho(r) d^3r = 4\pi \int r^2 \rho(r) r^2 dr$$

Assuming spherical symmetry  $\Rightarrow \rho(r) = \rho(-r)$ :

$$G_E(Q) \simeq 1 + 0 - \frac{Q^2}{6} \langle r^2 \rangle + \dots$$

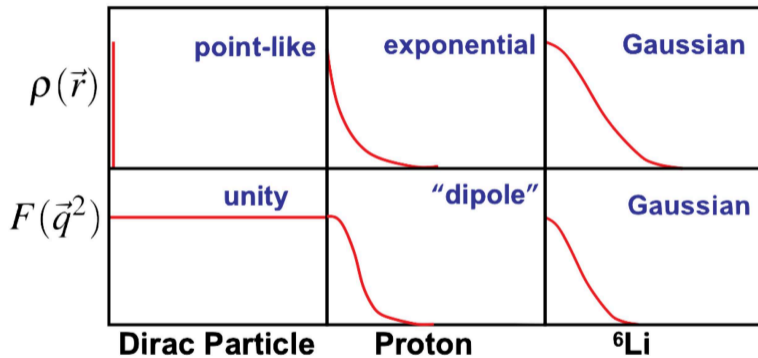
$$\langle r^2 \rangle^{1/2} \simeq 0.74 \pm 0.24 \cdot 10^{-15} \text{ m}$$



Fitted with a dipole shape:

$$|G_D(Q)| \sim \frac{1}{(1 + Q^2/\mu_0^2)^2}$$

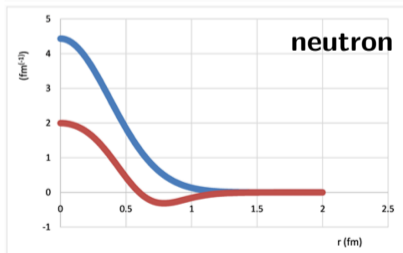
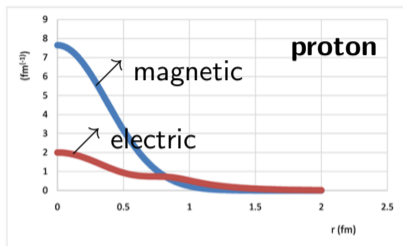
with  $\mu_0^2 \simeq 0.71 \text{ GeV}^2$





## Example of recent work:

Kurai [2020]



transverse charge distributions as a function of the **impact parameter  $b$**

⇒ negative charge densities at the neutron center

⇒ contradicts the negative pion cloud surrounding a positively charged core.

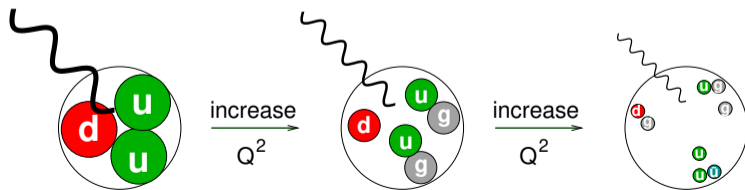
# Compton wave length

To resolve small distances ( $\Delta r$ ) we need small de Broglie wave length:

$$\lambda = \lambda/2\pi = \Delta r/2\pi = \frac{\hbar c}{p} \Rightarrow \Delta r = \frac{h c}{p} \quad (1)$$

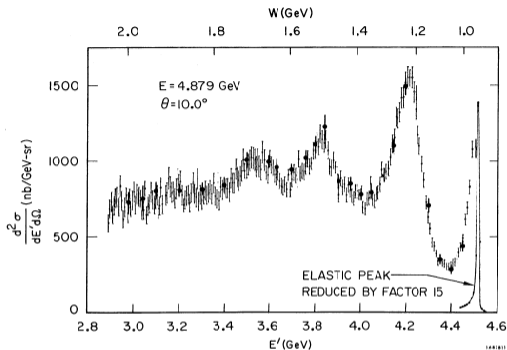
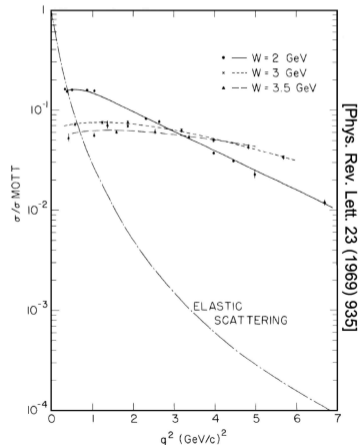
As  $E^2 = c^2 p^2 + m^2 c^4$ :

$$\lambda = \frac{\hbar c}{\sqrt{E^2 - m^2 c^4}} \stackrel{E^2 \ll -m^2 c^4}{\approx} \frac{\hbar c}{Q}$$



# Deep Inelastic Scattering

1967 SLAC - e beam up to 21 GeV



$$W^2 = (q + p)^2 = X^2 = q^2 + 2p \cdot q + m_p^2$$

$$x = \frac{Q^2}{2p \cdot q} \Rightarrow W^2 = m_p^2 + \frac{1-x}{x} Q^2$$

- **Elastic** case:  $\delta(E - E' + q^2/2m_p)$

$$W^2 = m_p^2 + \frac{1-x}{x} Q^2 = m_p^2 \quad \rightarrow \quad x = 1$$

- **deep inelastic** case:  $x \ll 1$

- in between: **resonances**

$\Rightarrow$  in the deep inelastic (continuum) region need to parametrise the  $\gamma^* - p$  interaction in the most general form

That's all for today